

# Nonequilibrium QFT approach to leptogenesis

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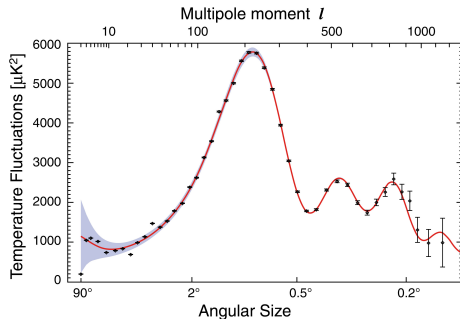
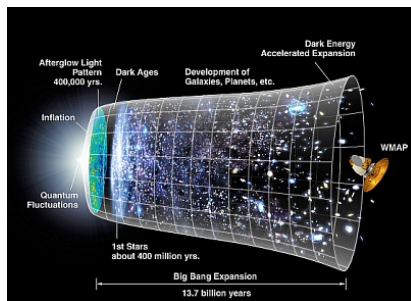
# Outline

- Introduction
- Part I: Closed Time Path (CTP) formalism of nonequilibrium QFT
- Part II: Application to resonant leptogenesis
- Conclusions

# Why baryogenesis?

To explain the **excess of matter over antimatter** in the Universe:  
[WMAP 2010]

$$\frac{n_B}{n_\gamma} = (6.19 \pm 0.15) \times 10^{-10}$$



# Sakharov conditions [A. Sakharov (1967)]

Necessary conditions for the generation of a **permanent baryon asymmetry**:

- Baryon number  $B$  violation
- $C$  and  $CP$  violation
- Out of equilibrium

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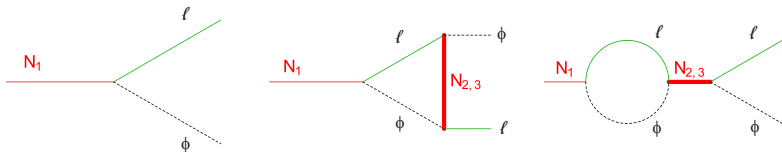
**To make the other conditions effective**

# Leptogenesis [Fukugita, Yanagida (1986)]

- The Standard Model is extended by adding **heavy right-handed Majorana neutrinos**  $N_i$ ,  $i = 1, 2, \dots$  (eg. see-saw models)

$$\mathcal{L} \supset \frac{1}{2} \bar{\psi}_{Ni} (i\not{\partial} - M_i) \psi_{Ni} - Y_{ia}^* \bar{\psi}_{\ell a} (\epsilon\phi)^\dagger \psi_{Ni} + \text{h.c.}$$

- Lepton asymmetry** is generated through out-of-equilibrium  $L$ - and  $CP$ -violating Yukawa decays:  $N_1 \rightarrow \ell\phi$
- Resonant enhancement** of  $CP$ -violation for nearly degenerate neutrino masses:  $|M_i - M_j| \sim \Gamma$



# Baryogenesis via Leptogenesis

- Baryon number  $B$  violation
- $C$  violation
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# Baryogenesis via Leptogenesis

- Baryon number  $B$  violation
  - $B - L$  broken by Majorana masses  $M_i$
  - $B + L$  broken by the EW Sphalerons
- $C$  violation
  - Left-chiral EW interactions
- $CP$  violation
  - Yukawa interactions between  $\ell_\alpha$  and  $N_i$
- Out of equilibrium
  - Expansion of the Universe - decreasing  $T$
  - Inverse decays  $\ell\phi \rightarrow N_1$  become out of equilibrium when  $M_1 \sim T \sim 10^{12}\text{GeV}$  (typically)



# Motivation

- Standard approach to leptogenesis calculations is to use Boltzmann equations with vacuum S-matrix elements.

## Goal:

First principle description of leptogenesis, including:

- Finite density medium effects
- Flavour coherence effects
- Memory effects, etc.

## Questions:

- When Boltzmann description breaks?
- Are finite widths required in the resonant regime:  $|M_i - M_j| \sim \Gamma$ , even though  $M_i \gg \Gamma$  ?
- Do flavour oscillations in the singlet neutrino sector play any role?

## Lots of recent progress towards more reliable calculational scheme:

- Finite density medium / thermal effects
  - Buchmüller, Fredenhagen (2000)
  - Asaka, Laine, Shaposhnikov (2006)
  - Garny, Hohenegger, Kartavtsev, Lindner (2009 & 2010)
  - Garny, Hohenegger, Kartavtsev (2010 & 2011)
  - Frossard, Garny, Hohenegger, Kartavtsev, Mitrouskas (2012)
  - Beneke, Garbrecht, MH, Schwaller (2010)
  - Garbrecht (2010)
  - Anisimov, Besak, Bödeker (2010)
  - Besak, Bödeker (2012)
  - Kiessig, Plumacher, Thoma (2010)
  - Kiessig, Plumacher (2011)
  - Laine, Schroder (2011)
  - Laine (2012)
- Flavour effects / finite width and memory effects
  - De Simone, Riotto (2007)
  - Beneke, Garbrecht, Fidler, MH, Schwaller (2010)
  - Anisimov, Buchmüller, Drewes, Mendizabal (2010 & 2011)
  - Garny, Hohenegger, Kartavtsev (2011)
  - Garbrecht, MH (2011)

# Part I: CTP Formalism of Nonequilibrium QFT

# Closed Time Path\* (CTP) Formalism

\* a.k.a. Schwinger-Keldysh Formalism [Schwinger (1961); Keldysh (1964); Calzetta, Hu (1988)]

- Usually in QFT matrix elements are computed within the **in-out** framework:

$$\langle \text{out} | \hat{S} | \text{in} \rangle \longleftarrow \text{time-ordered correlators: } \langle T[\psi(x)\bar{\psi}(y)] \rangle$$

- For equilibrium or out-of-equilibrium problems the interesting quantities are **expectation values** in a finite density medium, e.g.

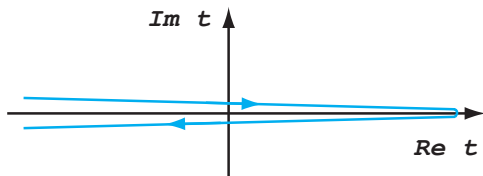
$$\langle j^0(x) \rangle = \langle \bar{\psi}(x)\gamma^0\psi(x) \rangle \equiv \text{tr} [\hat{\rho}\bar{\psi}(x)\gamma^0\psi(x)]$$

- $\hat{\rho}$  is an (unknown) quantum density operator

- **Path-integral** formulation of expectation values:

$$\langle \mathcal{O}(x) \rangle = \int D\phi^+ D\phi^- \rho[\phi^+(0, \mathbf{x}), \phi^-(0, \mathbf{x})] \mathcal{O}(x) \exp \{i[S[\phi^+] - S[\phi^-]^*]\}$$

→ **In-In** generating functional on a **Closed Time Path**:



$$Z[J^+, J^-] = \int D\phi^+ D\phi^- \rho[\phi^+(0, \mathbf{x}), \phi^-(0, \mathbf{x})] \\ \times \exp \left\{ i \left[ S[\phi^+] - S[\phi^-]^* + (J^+ \phi^+ + J^{+\dagger} \phi^{+\dagger}) - (J^- \phi^- + J^{-\dagger} \phi^{-\dagger}) \right] \right\}$$

- Path-ordered correlators:

$$iG_C(x, y) = \langle T_C[\phi(x)\phi^\dagger(y)] \rangle$$

where  $T_C$  denotes path-ordering along the CTP.

- Four propagators with respect to real time variable:

$$iG^{+-}(x, y) = iG^<(x, y) = \langle \phi^\dagger(y)\phi(x) \rangle$$

$$iG^{-+}(x, y) = iG^>(x, y) = \langle \phi(x)\phi^\dagger(y) \rangle$$

$$iG^{++}(x, y) = iG^T(x, y) = \langle T(\phi(x)\phi^\dagger(y)) \rangle$$

$$iG^{--}(x, y) = iG^{\bar{T}}(x, y) = \langle \bar{T}(\phi(x)\phi^\dagger(y)) \rangle$$

- In diagrammatic Feynman rules each vertex is summed over a CTP branch index:

$$\int d^4x \int d^4y G(x, y) \longrightarrow \sum_{a,b=\pm} ab \int d^4x \int d^4y G^{ab}(x, y)$$

# Thermal equilibrium

- In **thermal equilibrium** the density operator is given by (canonical ensemble):

$$\hat{\rho} = \frac{1}{Z} e^{-\beta \hat{H}}$$

→ Propagators and self energies obey **KMS relations**:

$$G^>(k) = e^{\beta k_0} G^<(k), \quad \Sigma^>(k) = e^{\beta k_0} \Sigma^<(k)$$

→ The tree-level propagators are given by

$$iG^<(k) = 2\pi \delta(k^2 - m^2) \text{sign}(k_0) f_B(k_0),$$

$$iG^>(k) = 2\pi \delta(k^2 - m^2) \text{sign}(k_0) (1 + f_B(k_0)),$$

$$iG^T(k) = \frac{i}{k^2 - m^2 + i\epsilon} + 2\pi \delta(k^2 - m^2) f_B(|k_0|),$$

$$iG^{\bar{T}}(k) = -\frac{i}{k^2 - m^2 - i\epsilon} + 2\pi \delta(k^2 - m^2) f_B(|k_0|)$$

- In equilibrium CTP formalism reduces to **real-time formalism** of thermal field theory

# Out of equilibrium - Kadanoff-Baym Equations

- Generic Schwinger-Dyson equations for (CTP) propagators:

$$\underline{\underline{G}} = \underline{\underline{G_0}} + \text{---} \text{---} \text{---} \Sigma \text{---} \text{---} \text{---}$$

- Kadanoff-Baym equations** are the  $\langle, \rangle$  components:<sup>1</sup>

$$(-\partial_x^2 - m^2)G^{\langle, \rangle} - \Sigma^H \odot G^{\langle, \rangle} - \Sigma^{\langle, \rangle} \odot G^H = \frac{1}{2} (\Sigma^{\rangle} \odot G^{\langle} - \Sigma^{\langle} \odot G^{\rangle})$$

- Renormalization, thermal corrections (thermal masses etc.)**
- Finite width effects**
- Collision term**

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<sup>1</sup> $(A \odot B)(x, y) \equiv \int d^4z A(x, z)B(z, y)$  denotes convolution



# Kinetic theory limit

- Weak interactions
- Slowly varying background

To separate the external and internal scales, we perform **Wigner transform**:

$$G(k, X) = \int d^4r e^{ik \cdot r} G\left(X + \frac{r}{2}, X - \frac{r}{2}\right),$$

where

$$X = (x + y)/2$$

average coordinate

$$r = x - y$$

relative coordinate

- Trades the **relative coordinate to momentum**

- **Gradient expansion** to the lowest order in
  - $X$ -derivatives:  $\partial_X M(X)$ ,  $\partial_X G(k, X)$ ,  $\partial_X \Sigma(k, X)$ , etc.
  - coupling constants in  $\Sigma(k, X)$

→ **Quasiparticle picture** with singular phase-space structure:

$$iG^<(k, X) = 2\pi\delta(k^2 - m^2) [\theta(k_0)f_+(\mathbf{k}, X) + \theta(-k_0)(1 + f_-(\mathbf{k}, X))]$$

- The (particle/antiparticle) distribution functions  $f_{\pm}(\mathbf{k}, X)$  obey **quantum Boltzmann-type kinetic equations**:

$$\partial_t f_{\pm} + \mathbf{v} \cdot \partial_{\mathbf{X}} f_{\pm} + \mathbf{F} \cdot \partial_{\mathbf{k}} f_{\pm} = (\partial_t f_{\pm})_{\text{coll}} \equiv \mathcal{C}_{\text{coll}\pm}$$

# Coherent quasiparticle approximation (cQPA)

[MH, Kainulainen, Rakhila (2008-2011); Fidler, MH, Kainulainen, Rakhila (2011)]

- In **cQPA** (fermionic) propagators  $S(k, t)$  are **NOT** assumed to be nearly time translation invariant ( $\partial_t S(k, t)$  are not necessarily small)

KB-equations  $\rightarrow$  flavour-mixing **Constraint and Kinetic Equations**:

$$(CE) \quad 2k_0 \bar{S}^< = \{H, \bar{S}^<\} + \left( e^{\frac{i}{2} \partial_{k_0}^{\Sigma} \cdot \partial_t^S} \bar{\Sigma}^< \bar{S}^h - i e^{\frac{i}{2} \partial_{k_0}^{\Sigma} \cdot \partial_t^S} \bar{\Sigma}^< \mathcal{A} (\bar{S}^< - \bar{S}_{\text{eq}}^<) + \text{h.c.} \right)$$

$$(KE) \quad i \partial_t \bar{S}^< = [H, \bar{S}^<] + \left( e^{\frac{i}{2} \partial_{k_0}^{\Sigma} \cdot \partial_t^S} \bar{\Sigma}^< \bar{S}^h - i e^{\frac{i}{2} \partial_{k_0}^{\Sigma} \cdot \partial_t^S} \bar{\Sigma}^< \mathcal{A} (\bar{S}^< - \bar{S}_{\text{eq}}^<) - \text{h.c.} \right)$$

where

$$\bar{S}^<(k, t) \equiv i S^<(k, t) \gamma^0,$$

$$H_{ij} \equiv (\mathbf{k} \cdot \boldsymbol{\alpha} + M_i \gamma^0) \delta_{ij}$$

→ **new oscillatory solutions** encoding quantum (flavour) coherence<sup>2</sup>:

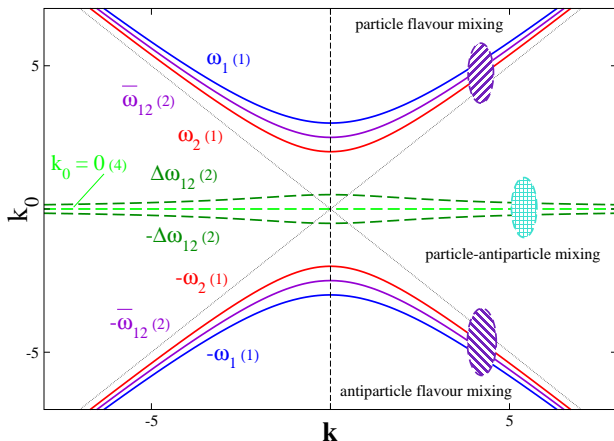
$$iS_{ij}^{\leq}(k, t) = 2\pi \sum_{h\pm} \frac{1}{4\omega_i\omega_j} P_h(\not{k}_{i\pm} + m_i) \left[ (\not{k}_{j\pm} + m_j) f_{ijh\pm}^m(\mathbf{k}, t) \delta(k_0 \mp \bar{\omega}_{ij}) \right. \\ \left. - (\not{k}_{j\mp} + m_j) f_{ijh\pm}^c(\mathbf{k}, t) \delta(k_0 \mp \Delta\omega_{ij}) \right]$$

- $f_{i\neq j}^m$ -functions mediate **particle-particle** and **antiparticle-antiparticle** flavour coherence
- $f^c$ -functions mediate **particle-antiparticle** (flavour) coherence

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<sup>2</sup> $P_h = \frac{1}{2}(1 + h \hat{\mathbf{k}} \cdot \gamma^0 \vec{\gamma} \gamma^5)$ , with  $h = \pm 1$  are helicity projectors,  $k_{i\pm}^\mu \equiv (\pm\omega_i, \mathbf{k})$ ,  $\bar{\omega}_{ij} \equiv \frac{1}{2}(\omega_i + \omega_j)$  and  $\Delta\omega_{ij} \equiv \frac{1}{2}(\omega_i - \omega_j)$

- Phase space shell structure:



- The distribution functions  $f^{m,c}$  obey **coherent quantum Boltzmann equations** (flavor indices are suppressed):

$$\partial_t f_{h\pm}^m = \mp i2\Delta\omega f_{h\pm}^m + \sum_{\alpha} \Phi'_{\pm\alpha} f_{\alpha} + C_{\pm}^m[f_{\alpha}]$$

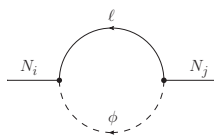
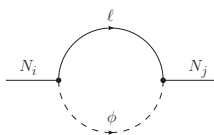
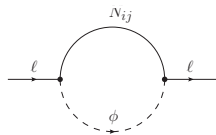
$$\partial_t f_{h\pm}^c = \mp i2\bar{\omega} f_{h\pm}^c + \sum_{\alpha} \Phi'_{c\pm\alpha} f_{\alpha} + C_{\pm}^c[f_{\alpha}]$$

- $\Phi'$  involve the first order gradients  $\partial_t m_i$ ,  $U\partial_t U^\dagger$  and  $V\partial_t V^\dagger$ .
  - $C[f_{\alpha}]$  are the collision integrals involving the self-energies  $\Sigma$ .
- To zeroth order in  $\partial_t m$  and  $\Sigma$ :  
 $f_{h\pm}^m$  and  $f_{h\pm}^c$  are simply oscillatory with frequencies  $\Delta\omega$  and  $\bar{\omega}$ .

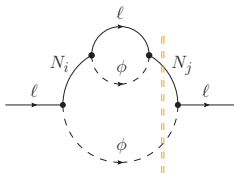
## Part II: Application to resonant leptogenesis

# Self-energies

- 2PI-type approach: one-loop self-energies,  $N_{ij}$  is independent variable  
[Garbrecht, MH (2011); Garny, Hohenegger, Kartavtsev (2011); MH, Kainulainen, Rahkila (in progress)]



perturbatively



- Wave-function type  $CP$ -violation arises from  $N_i$  flavour mixing



# Approximations

Relevant scales:

- **External scale:** Hubble expansion rate  $H \sim T^2/M_{\text{pl}}$
- **Internal scale:** momentum  $k \sim T$

$$\implies H \ll k$$

- In addition: For weak coupling the **interaction rates:**

$$\Gamma \ll k$$

During the leptogenesis at  $T > \Lambda_{\text{EW}}$ :

$$k \gg \Gamma_g \gg \Gamma_Y \sim H$$

- $\ell$  and  $\phi$  fields remain in **kinetic equilibrium** due to fast gauge interactions

→ Due to scale hierarchy **kinetic theory limit is applicable**

→ KB-equations in cQPA scheme for **flavour-mixing neutrinos**  $N_i$

In addition:

- Kinetic equation for **lepton asymmetry**:

$$\begin{aligned} \partial_t (n_\ell - \bar{n}_\ell) &= -\frac{1}{2} \int \frac{d^4 k}{(2\pi)^4} \text{tr} \left[ i\Sigma_\ell^>(k) P_L iS_\ell^<(k) - i\Sigma_\ell^<(k) P_L iS_\ell^>(k) \right] + h.c. \\ &\equiv -\mathcal{W}(n_\ell - \bar{n}_\ell) + \mathcal{S}_{CP} \end{aligned}$$

## Non-resonant regime: $|M_i - M_j| \gg \Gamma$

- Rapidly oscillating flavour-coherence solutions can be neglected
- **Perturbative solution** to the constraint equation:

$$i\delta S_{ii}^<(k, t) = -2\pi(\not{k} + M_i)\delta f_i(\mathbf{k}, t)\delta(k^2 - M_i^2)$$

$$i\delta S_{ij}^<(k, t) = \frac{i}{M_i^2 - M_j^2} \left[ (\not{k} + M_i)\Sigma_{ij}^A(k)i\delta S_{jj}^<(k, t) + i\delta S_{ii}^<(k, t)\Sigma_{ij}^A(k)(\not{k} + M_j) \right]$$

- **CP-violating source** involves finite density corrections: [Beneke, Garbrecht, MH, Schwaller (2010)]

$$\mathcal{S}_{CP}^{\text{wf}} = 8 \text{Im}[Y_1^2 Y_2^{*2}] \frac{M_1 M_2}{M_1^2 - M_2^2} \sum_{i=1,2} \int \frac{d^3 k}{(2\pi)^3 2\omega_i} \Sigma_\mu(k_i) \Sigma^\mu(k_i) \delta f_i(\mathbf{k}, t)$$

## Resonant regime: $|M_i - M_j| \lesssim \Gamma$

- **Flavour-coherence important!**
- Lowest order solution to the **constraint equation**:

$$i\delta S_{ij}^<(k, t) = -2\pi \sum_{h=\pm} P_h(\mathbf{k} + \bar{M}_{ij}) \delta f_{hij}(\mathbf{k}, t) \delta(k^2 - \bar{M}_{ij}^2)$$

- Neutrino flavour-mixing dynamics from local **kinetic equation**:

$$\partial_t \delta f_h = -\frac{i}{2\omega} [M^2, \delta f_h] - \{\Gamma_h, \delta f_h\} - \partial_t f_{\text{eq}}$$

- **CP-violating source**:

$$\mathcal{S}_{CP} = \sum_{i,j} \sum_{h=\pm} Y_i^* Y_j \int \frac{d^3k}{(2\pi)^3} \frac{1}{2\omega} \left[ k^\mu (\delta f_{hij} - \delta f_{hij}^*) + h \tilde{k}^\mu (\delta f_{hij} + \delta f_{hij}^*) \right] \Sigma_\mu(k)$$

$$\bar{M}_{ij} \equiv (M_i + M_j)/2, \quad P_h \equiv \frac{1}{2}(1 + h \hat{\mathbf{k}} \cdot \gamma^0 \gamma \gamma^5), \quad \Gamma_{hij}(\mathbf{k}) \equiv \left( \text{Re}[Y_i Y_j^*] \frac{k^\mu}{\omega} + ih \text{Im}[Y_i Y_j^*] \frac{\tilde{k}^\mu}{\omega} \right) \Sigma_\mu(k)$$

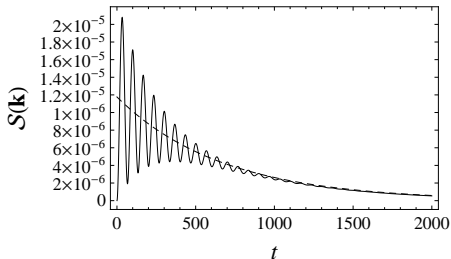
## Toy model: constant background

- Initial conditions ( $N_1$  vacuum,  $N_2$  thermal):

$$\delta f_{h11}(\mathbf{k}, 0) = -f_{\text{eq}}(\mathbf{k}), \quad \delta f_{h22}(\mathbf{k}, 0) = \delta f_{h12}(\mathbf{k}, 0) = \delta f_{h21}(\mathbf{k}, 0) = 0$$

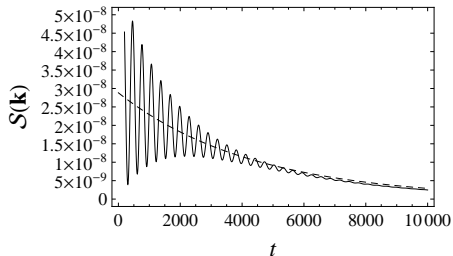
- Non-resonant regime:  $|M_2 - M_1| \gg \Gamma$

$$M_1 = T, \quad M_2 = 1.1 T, \quad Y_1 = 0.1, \quad Y_2 = 0.2 + 0.1i$$



Non-relativistic case:  $|\mathbf{k}| = 0.5 T$

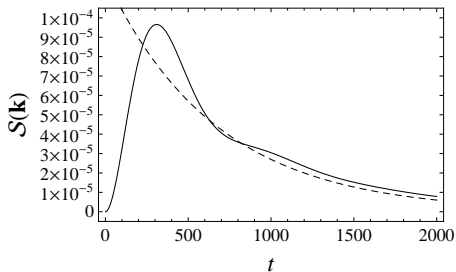
- full kinetic equations
- perturbative result



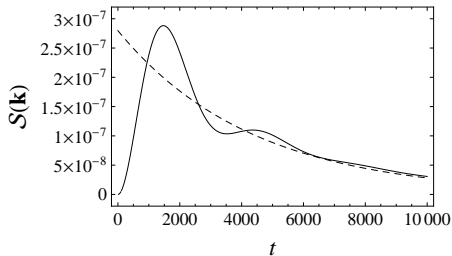
Relativistic case:  $|\mathbf{k}| = 5 T$

- Resonant regime:  $|M_2 - M_1| \sim \Gamma$

$$M_1 = T, \quad M_2 = 1.01 T, \quad Y_1 = 0.1, \quad Y_2 = 0.2 + 0.1i$$



Non-relativistic case:  $|\mathbf{k}| = 0.5 T$



Relativistic case:  $|\mathbf{k}| = 5 T$

- In resonant regime the correction to perturbative result can be sizeable

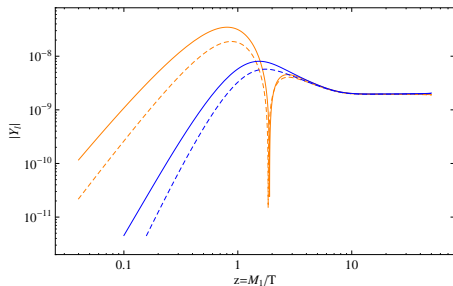
- In the limit  $|\Gamma_{12}| \ll |\Gamma_{11} - \Gamma_{22}|$  and  $|\Gamma_{12}| \lesssim |M_1 - M_2|$ , we get:

$$\mathcal{S}_{CP} = -8 \operatorname{Im}[Y_1^2 Y_2^{*2}] \int \frac{d^3 k}{(2\pi)^3 2\omega} \frac{M_1 M_2 (M_1^2 - M_2^2)}{(M_1^2 - M_2^2)^2 + \omega^2 (\Gamma_{11} - \Gamma_{22})^2} \Sigma_\mu(k) \Sigma^\mu(k) \\ \times \left[ e^{-\Gamma_{11} t} - \left( \cos(\Delta\omega t) + \frac{\Gamma_{11} - \Gamma_{22}}{2\Delta\omega} \sin(\Delta\omega t) \right) e^{-(\Gamma_{11} + \Gamma_{22})t/2} \right] f_{\text{eq}}(\mathbf{k})$$

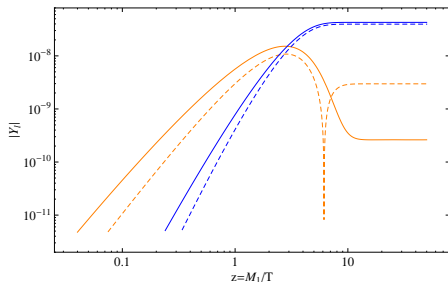
where  $\Delta\omega(\mathbf{k}) \equiv |M_1^2 - M_2^2| / (2\omega(\mathbf{k}))$

- The 'resonant' structure of the denominator is in agreement with previous works [Buchmuller, Plumacher (1997); Anisimov, Broncano, Plumacher (2005); Garny, Hohenegger, Kartavtsev (2011)]
- The **new terms** arise due to singlet neutrino flavour oscillations  
 → **Important in the resonant regime:  $\Delta\omega \sim \Gamma$**

# Finite density effects [Beneke, Garbrecht, MH, Schwaller (2010)]



Strong washout



Weak washout

- Lepton Asymmetry:  $Y_\ell = \frac{n_\ell - \bar{n}_\ell}{s}$ 
  - full solution
  - no thermal corrections in loops
- Finite density corrections are sizable for weak washout



# Conclusions

- **CTP formalism** is a powerful tool to study out-of-equilibrium phenomena of the early Universe:
  - First principle approach providing a controlled approximation scheme
  - Naturally incorporates finite density medium effects, flavour coherence effects etc.
- CTP approach to **resonant leptogenesis**:
  - LO dynamics can be described by **local transport equations** within quasiparticle picture
  - **Resonant enhancement** is obtained through  $N_i$  flavour-mixing dynamics (observed for mixing scalar fields in [Liu, Segre (1993); Covi, Roulet (1996)])
  - In resonant regime:  $|M_i - M_j| \lesssim \Gamma$ , neutrino  $N_i$  flavour oscillations can be important
  - Finite density corrections are sizable for weak washout

## Extra: One-loop self-energies

- Neutrino  $N_i$  self-energy:

$$i\Sigma_{ij}^{<, >}(k) = g_w \int \frac{d^4 k'}{(2\pi)^4} \frac{d^4 k''}{(2\pi)^4} (2\pi)^4 \delta^{(4)}(k - k' - k'') \\ \times \left\{ Y_i Y_j^* P_L i S_\ell^{<, >}(k') P_R i \Delta_\phi^{ab}(k'') - Y_i^* Y_j P_R C i S_\ell^{>, <T}(-k') C^\dagger P_L i \Delta_\phi^{>, <}(-k'') \right\}$$

→

$$\Sigma_{ij}^A(k) = \frac{1}{2} (i\Sigma_{ij}^{>}(k) - i\Sigma_{ij}^{<}(k)) \equiv (Y_j^* Y_i P_L + Y_i^* Y_j P_R) \gamma^\mu \Sigma_\mu(k)$$

$$\Sigma^\mu(k) = \int \frac{d^3 p}{(2\pi)^3 2|\mathbf{p}|} \frac{d^3 q}{(2\pi)^3 2|\mathbf{q}|} (2\pi)^4 \delta^4(k - p - q) p^\mu \left( 1 - f_\ell^{\text{eq}}(\mathbf{p}) + f_\phi^{\text{eq}}(\mathbf{q}) \right)$$

- Charged lepton  $\ell$  self-energy (lowest order in gradients):

$$i\Sigma_\ell^{<, >}(k, t) = \int \frac{d^4 k'}{(2\pi)^4} \frac{d^4 k''}{(2\pi)^4} (2\pi)^4 \delta^4(k - k' - k'') Y_i^* Y_j P_R i S_{ij}^{<, >}(k', t) P_L i \Delta_\phi^{>, <}(-k'')$$