

Gravitational reheating after multi-field inflation

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Success of Inflation + Λ CDM



- Λ CDM is in very good **agreement with CMB observations**
- Perturbations **consistent with simple single-field inflation:**
Planck 2015 & BICEP2/Keck Oct. 2015

✓ **5.6 σ deviation from scale invariance:**

$$n_s = 0.9655 \pm 0.0062$$

✓ perturbations close to
Gaussian:

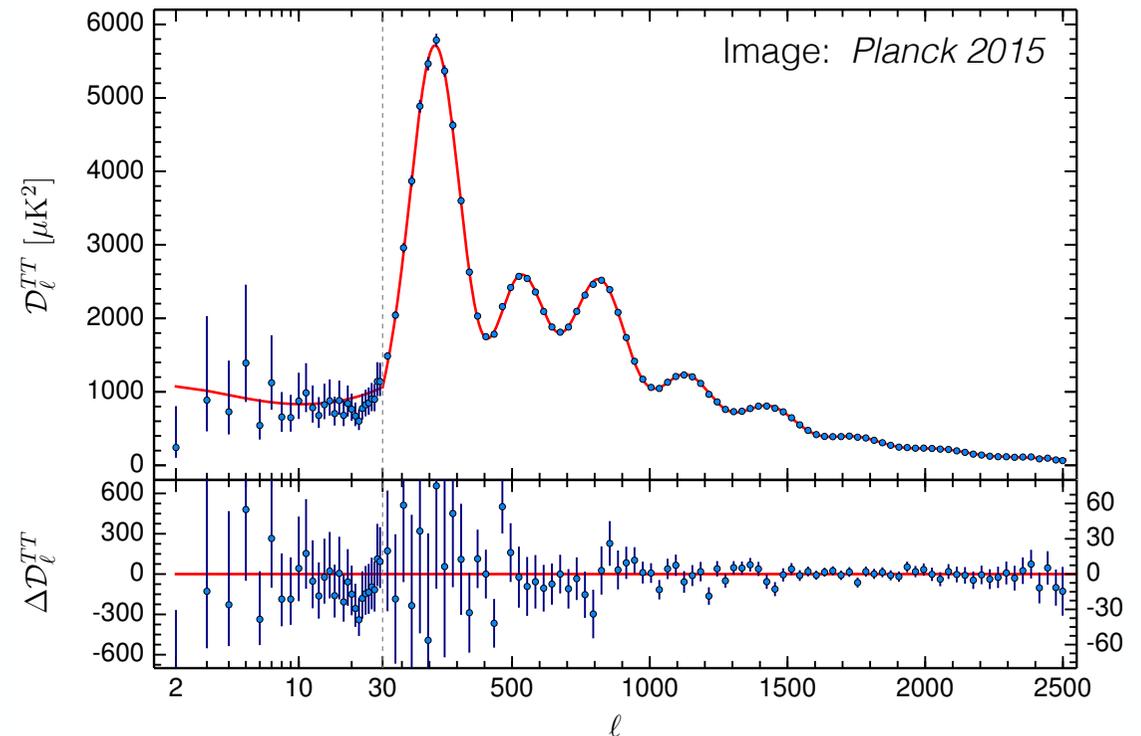
$$f_{NL}^{local} = 0.8 \pm 5.0$$

✓ perturbations close to
adiabatic:

$$|\alpha_{non-ad}| < \mathcal{O}(1\%)$$

✓ tensor modes constrained:

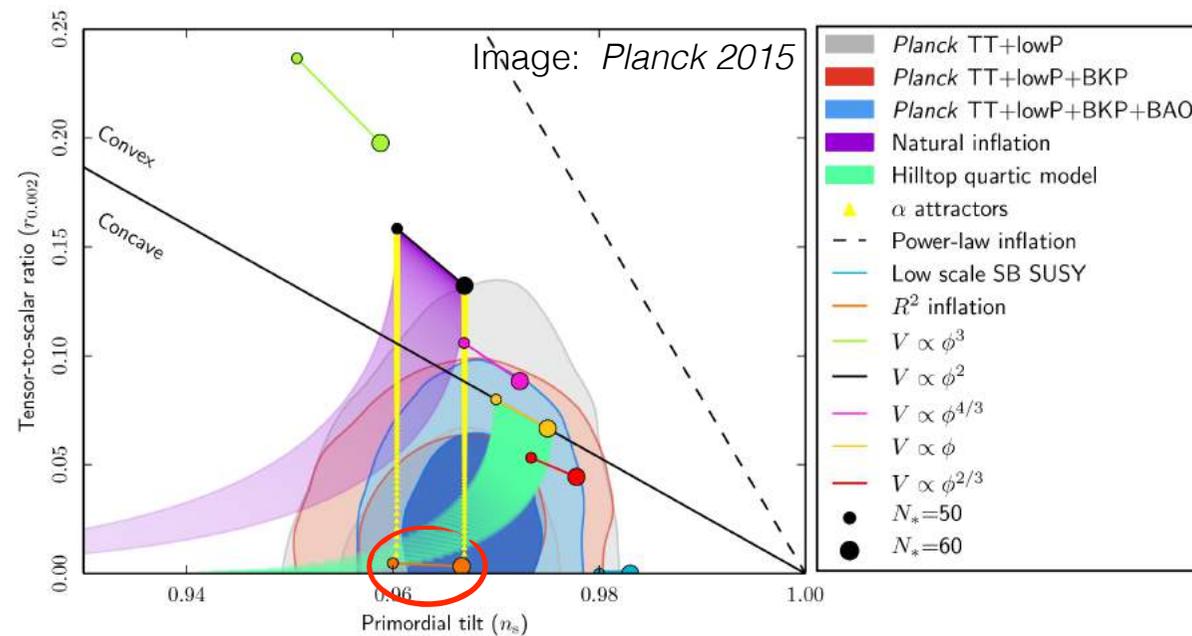
$$r_{0.05} < 0.07$$



Constraining inflation models



- Current CMB data is very precise \Rightarrow **we can constrain inflation models**



Two things to note:

- The predictions of a given model depend on when observable scales left the horizon - **need to know N_* when constraining models**
- Large class of **models with non-minimal gravity sectors** are in **good agreement with observations**, e.g. R^2 , Higgs, α -attractors

When did observable scales leave the horizon?



- To determine N_* we **need to know how the universe evolves after inflation**, but...
 ...we still **know very little about the reheating epoch**, during which the inflaton energy is converted into the matter of our universe.

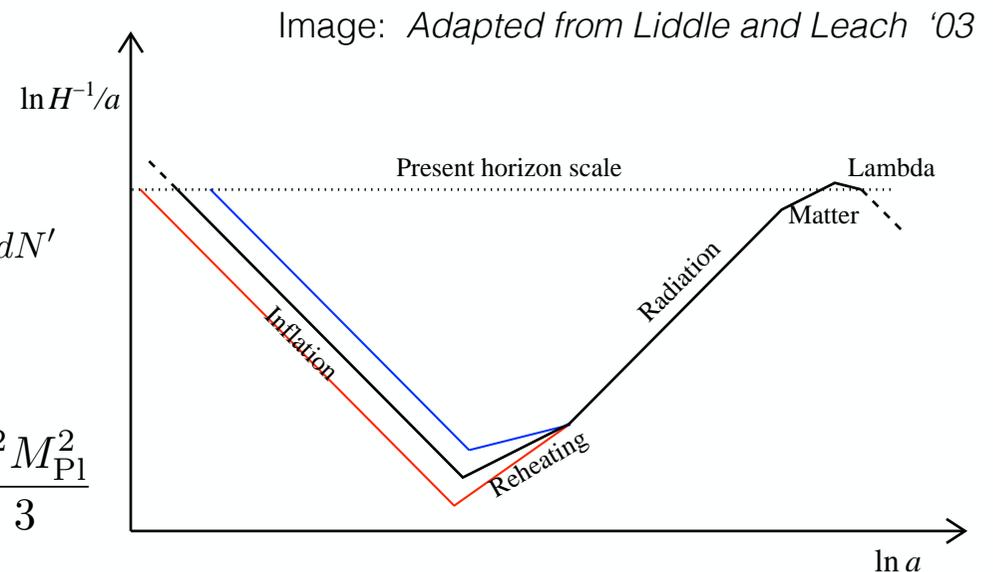
- We don't have to worry about the microphysics - **just need:**
 - Effective e.o.s.**
 - Duration**

$$N_* \approx 67 - \ln\left(\frac{k}{a_0 H_0}\right) + \frac{1}{4} \ln\left(\frac{V_*^2}{M_{\text{Pl}}^4 \rho_{\text{end}}}\right) + \frac{\Delta N_{\text{rh}}}{4} (3w_{\text{rh}} - 1)$$

$$\frac{1 - 3w_{\text{rh}}}{12(1 + w_{\text{rh}})} \ln\left(\frac{\rho_{\text{reh}}}{\rho_{\text{end}}}\right) \quad w_{\text{rh}} = \frac{1}{\Delta N_{\text{rh}}} \int_{N_{\text{end}}}^{N_{\text{rh}}} \frac{p(N')}{\rho(N')} dN'$$

- Under the instant decay approximation **reheating ends when $\Gamma \approx 3H$** $\rightarrow \rho_{\text{rh}} = \frac{\Gamma^2 M_{\text{Pl}}^2}{3}$

\Rightarrow we need to determine Γ



Models with non-minimal coupling

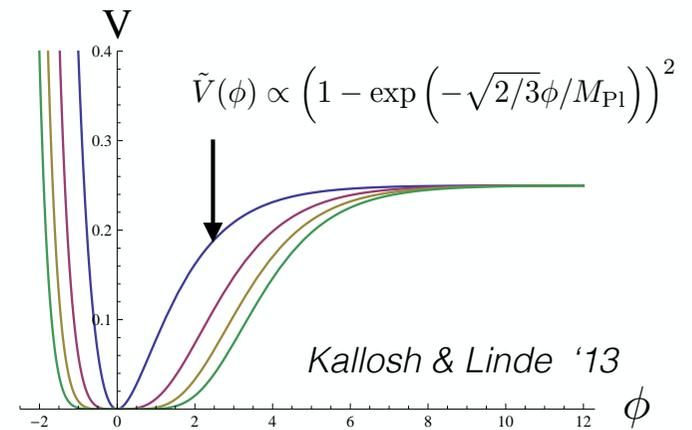


- In the context of modified gravity, field theory in curved space-time and higher-dimensional unifying particle physics theories, **non-minimal coupling between scalar fields and the Ricci scalar is common**

e.g.

$$S_{f(R)} = \int d^4x \sqrt{-g} f(R) \Rightarrow \int d^4x \sqrt{-g} (\Phi R + \dots)$$

$$S_{Higgs} = \int d^4x \sqrt{-g} \left[\frac{1}{2} (M_{pl}^2 + \xi h^2) R + \dots \right] \quad (\Phi = df / dR)$$



- Make **conformal transformation**: $\tilde{g}_{\mu\nu} = \Omega^2 g_{\mu\nu}$

$$\Rightarrow S = \int d^4x \sqrt{-\tilde{g}} \left(\frac{M_{pl}^2}{2} \tilde{R} - \frac{1}{2} s(\varphi) (\partial\varphi)^2 - \tilde{V} \right)$$

1. Flattening of potential

$$\tilde{V} = V/\Omega^4$$

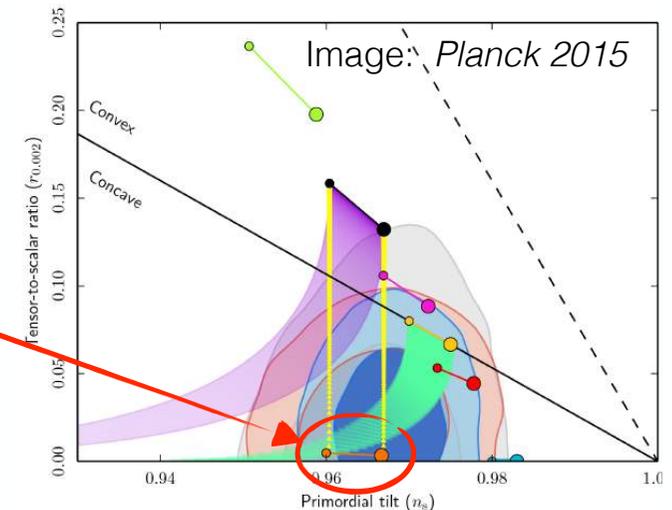
2. Rescaling of field

$$\phi = M_{Pl} \sqrt{3/2} \ln \varphi$$

\Rightarrow **Ideal for inflation**

- All predict $n_s = 1 - \frac{2}{N_*}$ $r = \frac{12}{N_*^2}$

but N_* depends on reheating, which is **different for different models**



Reheating in models with non-minimal coupling



- Given that inflation models with non-minimal coupling are favoured by observations, we consider reheating in models with the following action:

$$S = \int d^4x \sqrt{-g} \left\{ \frac{f(\vec{\phi})}{2} R - \frac{1}{2} h_{ab} g^{\mu\nu} \partial_\mu \phi^a \partial_\nu \phi^b - V \right\} + S_m$$

- Have allowed for **multiple fields** with a **non-flat field space**. The presence of multiple fields is expected in the context of HEP unifying theories.
- We would like to determine:
 - Equation of state** during reheating
 - The duration of reheating, i.e. Γ
 - Evolution of ζ** through reheating

Due to the non-minimal coupling we **get reheating even in the absence of direct couplings** between $\vec{\phi}$ and matter, i.e. $S_m = S_m(g_{\mu\nu}, X_m)$

- This is **gravitational reheating**. We will consider this minimal setup where $S_m = S_m(g_{\mu\nu}, X_m)$

Interaction terms in the Einstein frame



- Reason for gravitational reheating is most clear in **Einstein frame**:

$$g_{\mu\nu} = \frac{M_{pl}^2}{f} \tilde{g}_{\mu\nu} \quad \Rightarrow \quad S = \int d^4x \sqrt{-\tilde{g}} \left[\frac{M_{Pl}^2}{2} \tilde{R} - \frac{1}{2} S_{ab} \tilde{g}^{\mu\nu} \partial_\mu \phi^a \partial_\nu \phi^b - \tilde{V}(\vec{\phi}) \right] + S_m \left(\frac{M_{Pl}^2}{f(\vec{\phi})} \tilde{g}_{\mu\nu}, X_m \right)$$

$$S_{ab} = \frac{M_{pl}^2}{f} \left(h_{ab} + \frac{3f_a f_b}{2f} \right) \quad \tilde{V} = \frac{M_{pl}^4 V}{f^2} \quad \text{Explicit interaction terms}$$

- e.g. if in the **Jordan frame** we consider matter to consist of fermions and scalar fields:

$$S_\chi = \int d^4x \sqrt{-g} \left\{ -\frac{1}{2} g^{\mu\nu} \partial_\mu \chi \partial_\nu \chi - U(\chi) \right\} \quad \not{D} = e_\alpha^\mu \gamma^\alpha (\partial_\mu - \Gamma_\mu - igA_\mu)$$

$$S_\psi = - \int d^4x \sqrt{-g} \left\{ \bar{\psi} \overleftrightarrow{D} \psi + m_\psi \bar{\psi} \psi \right\} \quad \Gamma_\mu = -(1/2) \Sigma^{\alpha\beta} e_\alpha^\lambda \nabla_\mu e_{\beta\lambda}$$

- Transforming to the **Einstein frame**: $\left(\Omega^2 = \frac{f}{M_{pl}^2} \right)$

$$S_{\tilde{\chi}} = \int d^4x \sqrt{-\tilde{g}} \left\{ -\frac{1}{2} \tilde{g}^{\mu\nu} \mathcal{D}_\mu \tilde{\chi} \mathcal{D}_\nu \tilde{\chi} - \frac{U(\chi)}{\Omega^4} \right\} \quad \text{Explicit interaction terms}$$

$$S_{\tilde{\psi}} = - \int d^4x \sqrt{-\tilde{g}} \left\{ \tilde{\psi} \overleftrightarrow{D} \tilde{\psi} + \frac{m_\psi}{\Omega} \tilde{\psi} \tilde{\psi} \right\}$$

$$\mathcal{D}_\mu = \partial_\mu + \tilde{\chi} \partial_\mu (\ln \Omega) \quad \tilde{D} = \tilde{e}_\alpha^\mu \gamma^\alpha (\partial_\mu - \Gamma_\mu - igA_\mu) \quad \tilde{\psi} = \Omega^{-3/2} \psi, \quad \tilde{\chi} = \frac{\chi}{\Omega} \quad \tilde{e}_\alpha^\mu = \frac{e_\alpha^\mu}{\Omega}$$

Background dynamics of oscillating inflatons



- First consider dynamics in the Einstein frame, where the inflatons are minimally coupled
- Assume ordinary matter fields are not present initially

⇒ **Dynamics of ϕ^a determined by the Einstein frame potential $\tilde{V}(\vec{\phi})$**

⇒ **Assume fields oscillate about a minimum of $\tilde{V}(\vec{\phi})$ at the end of inflation**

⇒ Decompose $\phi^a = \phi_{\text{vev}}^a + \sigma^a$ and expand the E.F. action:

$$S = \int d^4x \sqrt{-\tilde{g}} \left\{ \frac{M_{\text{pl}}^2 \tilde{R}}{2} - \frac{1}{2} S_{ab}|_{\text{vev}} \tilde{g}^{\mu\nu} \partial_\mu \sigma^a \partial_\nu \sigma^b - \frac{1}{2} \tilde{V}_{ab}|_{\text{vev}} \sigma^a \sigma^b \right\}$$

To diagonalise the action we introduce the **mass eigen-basis**: $\sigma^a = \alpha^A e_A^a$

$$\tilde{V}^a{}_b e_A^b \equiv S^{ac} \tilde{V}_{cb} e_A^b = m_{\hat{A}}^2 e_A^a$$

$$\Rightarrow S = \int d^4x \sqrt{-\tilde{g}} \left\{ \frac{M_{\text{Pl}}^2 \tilde{R}}{2} - \frac{1}{2} \delta_{AB} (\tilde{g}^{\mu\nu} \partial_\mu \alpha^A \partial_\nu \alpha^B + m_{\hat{A}}^2 \alpha^A \alpha^B) \right\}$$

Background dynamics of oscillating inflatons



- Assuming an **FLRW** metric: $d\tilde{s}^2 = -d\tilde{t}^2 + \tilde{a}^2 \delta_{ij} dx^i dx^j$

$$\Rightarrow \frac{d^2}{d\tilde{t}^2} \left(\tilde{a}^{3/2} \alpha^A \right) + \left[m_A^2 - \left(\frac{9}{4} \tilde{H}^2 + \frac{3}{2} \frac{d\tilde{H}}{d\tilde{t}} \right) \right] \left(\tilde{a}^{3/2} \alpha^A \right) = 0$$

- Assuming $m_A^2 \gg \tilde{H}^2$, $d\tilde{H}/d\tilde{t}$ $\Rightarrow \alpha^A \simeq \frac{\alpha_0^A}{\tilde{a}^{3/2}} \cos[m_A \tilde{t} + d_A]$

$$\tilde{H}^2 = \frac{1}{6M_{\text{Pl}}^2} \sum_A \left[\left(\frac{d\alpha^A}{d\tilde{t}} \right)^2 + m_A^2 (\alpha^A)^2 \right] = \sum_A \frac{(\alpha_0^A)^2 m_A^2}{6M_{\text{Pl}}^2 \tilde{a}^3} \left(1 + \frac{3\tilde{H}}{2m_A} \sin(2(m_A \tilde{t} + d_A)) + \mathcal{O}(\tilde{H}^2/m_A^2) \right)$$

Universe essentially **evolves like matter-dominated universe during reheating**
i.e. $\omega_{rh} = 0$

Oscillatory component of \tilde{H} is sub-leading order in \tilde{H}/m_A

$$\frac{d\tilde{H}}{d\tilde{t}} \simeq -\frac{3}{2} \tilde{H}^2 \left(1 - \frac{1}{\tilde{H}^2} \sum_A \frac{(\alpha_0^A)^2 m_A^2}{6M_{\text{Pl}}^2 \tilde{a}^3} \cos(2(m_A \tilde{t} + d_A)) \right)$$

$d\tilde{H}/d\tilde{t}$ has an oscillatory component even at leading order, but $d\tilde{H}/d\tilde{t} \sim \mathcal{O}(\tilde{H}^2)$

Background dynamics in the Jordan frame



- Would like to use the E.F. results to determine dynamics in Jordan frame.
- Under the conformal transformation we have: ($\Omega^2 = f(\phi)/M_{pl}^2$)

$$d\tilde{s}^2 = -d\tilde{t}^2 + \tilde{a}^2(\tilde{t})\delta_{ij}d\tilde{x}^i d\tilde{x}^j = \Omega^2 ds^2 = \Omega^2 (-dt^2 + a^2(t)\delta_{ij}dx^i dx^j)$$

$$\Rightarrow \quad \tilde{a} = \Omega a, \quad d\tilde{t} = \Omega dt, \quad d\tilde{x}^i = dx^i \quad \text{and} \quad \tilde{H} = \frac{1}{\Omega} \left(H + \frac{\dot{\Omega}}{\Omega} \right)$$

- **Assuming** $f|_{\text{vev}} = M_{Pl}^2$ and expanding:

$$f = M_{pl}^2 \left(1 + \frac{f_A \alpha^A}{M_{Pl}^2} + \frac{1}{2} \frac{f_{AB} \alpha^A \alpha^B}{M_{Pl}^2} + \dots \right)$$

$$\Rightarrow \quad H \simeq \tilde{H} \left(1 + \frac{1}{\tilde{H}} \sum_A \frac{f_A}{2M_{Pl}^2} \frac{\alpha_0^A m_A}{\tilde{a}^{3/2}} \sin(m_A \tilde{t} + d_A) \right)$$

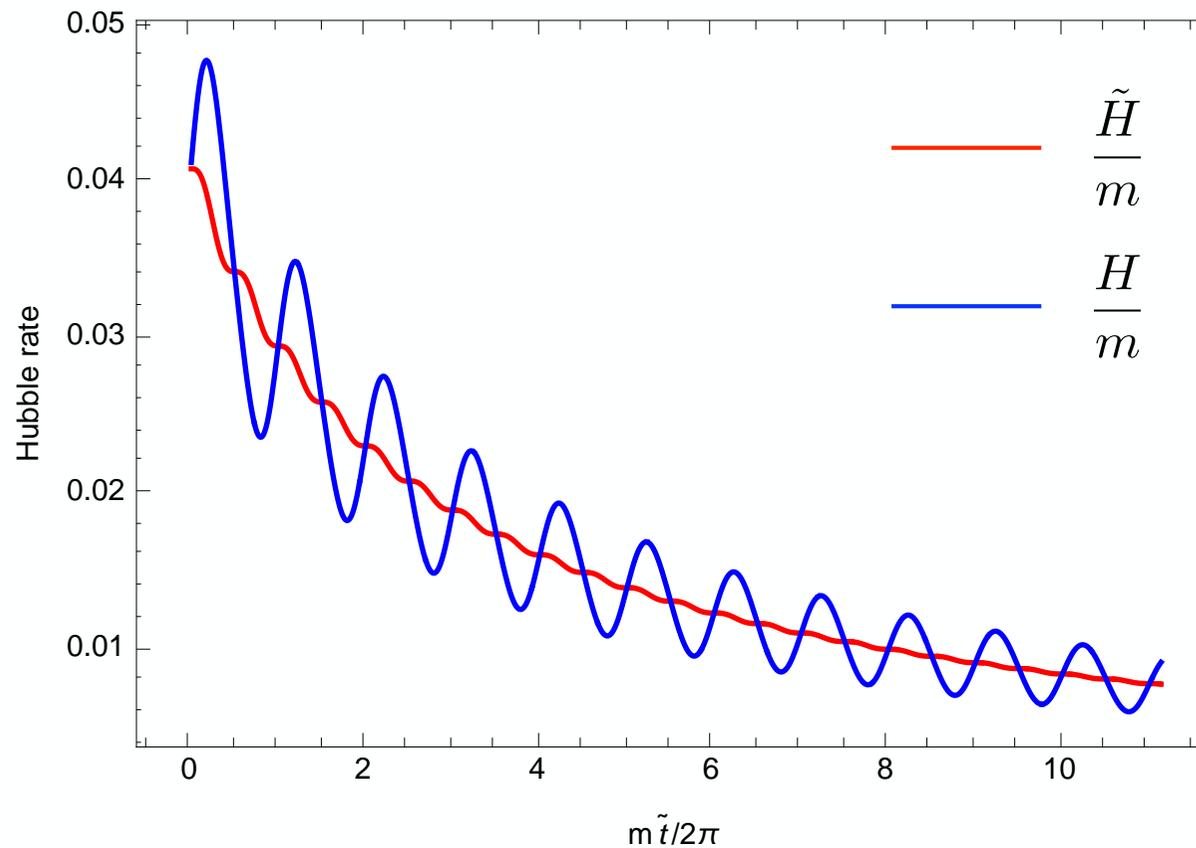
On average, evolution of H in the Jordan frame is like that of matter-dominated universe, but there is an **oscillatory component** that is **not suppressed**

$$\Rightarrow \quad \dot{H} \sim \mathcal{O}(m_A \tilde{H}) \quad \text{compared to} \quad d\tilde{H}/d\tilde{t} \sim \mathcal{O}(\tilde{H}^2) \quad \text{in Einstein frame}$$

Background dynamics comparison



- A single-field example with $\alpha_0 = 0.1 M_{\text{Pl}}$ and $\frac{f_\alpha}{2M_{\text{Pl}}} = 0.1$



Bogoliubov approach: scalar case



- In the flat-space QFT approach to determining decay rates we view the oscillating scalar fields as a **collection of massive zero-momentum particles that decay** into matter
 - Presents itself naturally in the Einstein frame
 - Is limited to the perturbative regime
- An alternative approach is based on **QFT in a time-varying classical background**
Let us begin by considering the χ field in the Jordan frame:

$$S_\chi = \int dt d^3x a^3 \frac{1}{2} \left[\dot{\chi}^2 - \frac{1}{a^2} (\nabla \chi)^2 - m_\chi^2 \chi^2 \right]$$

In quantising the field we use conformal time $a d\eta = dt$ and define the canonically normalised field $u = a\chi$

$$S_u = \int d\eta d^3x \frac{1}{2} \left[u'^2 - (\nabla u)^2 - \left(a^2 m_\chi^2 - \frac{a''}{a} \right) u^2 \right]$$

$$\Rightarrow u_k'' + w_k^2 u_k = 0 \quad \text{with} \quad w_k^2 = k^2 + a^2 m_\chi^2 - \frac{a''}{a} \leftarrow \frac{a''}{a} = a^2 (\dot{H} + 2H^2)$$

u has a **time-varying mass due to time-dependence of gravitational background**

Bogoliubov approach: scalar case



- On **choosing appropriate mode-functions**:

$$u_k(\eta) = \frac{\alpha_k(\eta)}{\sqrt{2w(\eta)}} \exp \left[-i \int_{-\infty}^{\eta} d\eta' w_k(\eta') \right] + \frac{\beta_k(\eta)}{\sqrt{2w(\eta)}} \exp \left[i \int_{-\infty}^{\eta} d\eta' w_k(\eta') \right]$$

\Rightarrow E.o.m. are satisfied if

$$\alpha'_k(\eta) = \frac{w'_k}{2w_k} \exp \left[2i \int_{-\infty}^{\eta} d\eta' w_k(\eta') \right] \beta_k(\eta),$$
$$\beta'_k(\eta) = \frac{w'_k}{2w_k} \exp \left[-2i \int_{-\infty}^{\eta} d\eta' w_k(\eta') \right] \alpha_k(\eta)$$

\Rightarrow Commutation relations satisfied if $|\alpha_k|^2 - |\beta_k|^2 = 1$

\Rightarrow **Hamiltonian diagonalised**, with $E_k = w_k(1/2 + |\beta_k(\eta)|^2)$

\Rightarrow We **interpret** $|\beta_k(\eta)|^2$ **as the number density of particles**

Even if $\beta_k = 0$ initially, $\beta_k(\eta)$ **evolves away from zero if** $w'_k \neq 0$
 \Rightarrow **corresponds to particle production**

Bogoliubov approach: scalar case



- The Bogoliubov approach is more widely applicable, but we can still consider the **perturbative regime** where $f_A \alpha^A / (2M_{\text{Pl}}^2) \ll 1$, $\beta_k(\eta) \ll 1$ and $\alpha_k(\eta) - 1 \ll 1$

$$\beta_k(\eta) \simeq \int_{\eta_0}^{\eta} d\eta' \frac{w'_k}{2w_k} \exp \left[-2i \int_{-\infty}^{\eta'} d\eta'' w_k(\eta'') \right]$$

- Evaluate $\beta_k(\eta)$ using the **stationary phase approx.**

$$\text{Stationary when } w_k = \frac{\tilde{a} m_A}{2} \quad \Rightarrow \quad \frac{k^2}{\tilde{a}^2 (\eta_k^A)} = \frac{m_A^2}{4} \left(1 - \frac{4m_\chi^2}{m_A^2} \right)$$

k/\tilde{a} corresponds to momentum of produced particle, so this **agrees with what we expect from kinematics**

- Determine the decay rate into $\tilde{\chi}$ using the continuity equation in the E.F.

$$\tilde{\nabla}^\mu \tilde{T}_{\mu\nu}(\tilde{\chi}) = -\frac{\Omega_\nu}{\Omega} \tilde{T}(\tilde{\chi}) \quad \Rightarrow \quad \text{we recover} \quad \tilde{\Gamma}_{\alpha^A \rightarrow \chi\chi} = \frac{[f_A (2m_\chi^2 + m_A^2)]^2}{128\pi M_{\text{pl}}^4 m_A} \left(1 - \frac{4m_\chi^2}{m_A^2} \right)^{1/2}$$

Bogoliubov approach: scalar case



- Almost trivial to see that the **calculation is the same if we start in the Einstein frame:**

$$S_{\tilde{\chi}} = \int d\tilde{t} d^3x \tilde{a}^3 \frac{1}{2} \left[\left(\frac{d\tilde{\chi}}{d\tilde{t}} \right)^2 - \frac{1}{\tilde{a}^2} (\nabla \tilde{\chi})^2 - \left(m_\chi^2 - \frac{f_A \alpha^A}{2M_{\text{Pl}}^2} (m_A^2 + 2m_\chi^2) \right) \tilde{\chi}^2 \right]$$

- Use conformal time, $\tilde{a} d\eta = d\tilde{t}$, and define **canonically normalised field** $\tilde{u} = \tilde{a} \tilde{\chi}$

$$S_{\tilde{u}} = \int d\eta d^3x \frac{1}{2} \left[\tilde{u}'^2 - (\nabla \tilde{u})^2 - \left(\tilde{a}^2 \left(m_\chi^2 - \frac{f_A \alpha^A}{2M_{\text{Pl}}^2} (m_A^2 + m_\chi^2) \right) - \frac{\tilde{a}''}{\tilde{a}} \right) \tilde{u}^2 \right]$$

...but $\tilde{a} = \Omega a$ and $\tilde{\chi} = \chi/\Omega \Rightarrow \tilde{u} = u$

\Rightarrow Whichever frame we start in ultimately we need to solve $u_k'' + w_k^2 u_k = 0$

However, the **interpretation is different**

J.F. $w_k^2 = k^2 + a^2 m_\chi^2 - \frac{a''}{a} \Rightarrow$ time-dependence of w_k due to oscillatory a
 - **gravitational particle production interpretation**

E.F. $w_k^2 = k^2 + \tilde{a}^2 \left(m_\chi^2 - \frac{f_A \alpha^A}{2M_{\text{Pl}}^2} (m_A^2 + 2m_\chi^2) \right) - \frac{\tilde{a}''}{\tilde{a}} \Rightarrow$ time-dependence of w_k due to **explicit interaction terms**

Starobinsky's inflation



- As a simple example, let us **consider Starobinsky's R^2 inflation model**:

$$S_S = \frac{M_{\text{Pl}}^2}{2} \int d^4x \sqrt{-g} \left(R + \frac{1}{6M^2} R^2 \right)$$

- It **can be re-expressed as a scalar-tensor theory**:

$$S_S = \int d^4x \sqrt{-g} \left[\frac{M_{\text{Pl}}^2}{2} \left(1 + \frac{\xi\phi}{M_{\text{Pl}}^2} \right) R - \lambda^2 \phi^2 \right]$$

To see equivalence: Eom gives $\phi = \frac{\xi R}{4\lambda^2}$. Substitute back into action and take $M^2 = \frac{4\lambda^2}{3\xi^2} M_{\text{Pl}}^2$

Relevant quantities are:

$$f = M_{\text{Pl}}^2 \left(1 + \frac{\xi\phi}{M_{\text{Pl}}^2} \right) \quad \tilde{V} = \frac{\lambda^2 \phi^2}{\left(1 + \frac{\xi\phi}{M_{\text{Pl}}^2} \right)^2} \quad S_{\phi\phi} = \frac{3\xi^2}{2M_{\text{Pl}}^2 \left(1 + \frac{\xi\phi}{M_{\text{Pl}}^2} \right)^2}$$
$$\phi_{\text{vev}} = 0 \quad S^{\phi\phi} \tilde{V}_{\phi\phi}|_{\text{vev}} = M^2 \quad \alpha = \sqrt{\frac{3}{2}} \frac{\xi}{M_{\text{Pl}}} \phi \quad f_\alpha = \sqrt{\frac{2}{3}} M_{\text{Pl}}$$

Starobinsky's inflation



- **Assuming daughter particles to be light** \Rightarrow dominant decay channel is into scalars:

$$\tilde{\Gamma} \simeq \frac{N_s f_\alpha^2 M^3}{128\pi M_{\text{Pl}}^4}$$

- Assuming instant thermalisation, **use this to determine the reheating temperature:**

- Define end of reheating when $\tilde{\Gamma} = 3\tilde{H} \Rightarrow \tilde{\rho}_{\text{rh}} = \frac{1}{3}\tilde{\Gamma}^2 M_{\text{Pl}}^2 = \frac{\pi^2}{30}g_*(T_{\text{rh}})T_{\text{rh}}^4$

\Rightarrow

$$T_{\text{rh}} \simeq 3.7 \times 10^9 \text{ GeV}$$

- Compare this with **Higgs inflation**, where $T_{\text{rh}} \sim 10^{13} \text{ GeV}$ due to **non-gravitational coupling** Bezrukov + Gorbunov '12
- This affects how many e-folds before end of inflation observable scales left the Horizon:

$$\Delta N_* \simeq \frac{1}{3} \ln \left(\frac{T_{\text{rh}}(R^2)}{T_{\text{rh}}(h)} \right) \simeq -3 \Rightarrow \begin{array}{l} \text{Higgs-inflation: } n_s = 0.967, \quad r = 0.0032, \\ R^2\text{-inflation: } n_s = 0.965, \quad r = 0.0036. \end{array}$$



- CMB data is now so precise that in order to constrain inflationary models we need to correctly determine how long before the end of inflation observable scales left the horizon.
- This in turn requires us to know about the post-inflation evolution of the universe, including reheating.
- Inflation models with non-minimal coupling are well motivated and observationally favoured, so it is important to study reheating in this class of models, and to determine observable consequences of having multiple fields.
- In this class of models, even in the absence of direct coupling between the inflaton sector and matter, reheating can take place gravitationally.
- We have developed a formulation of multi-field gravitational particle production using the Bogoliubov approach, which can be applied to both perturbative reheating and preheating.

Thank you!