## Smooth geometries and their CFT duals

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#### Review & Motivation

- D1-D5 system
- Dual CFT

#### 2 Smooth geometries in the D1-D5 system

- Two-charge solitons
- Three-charge solitons
- Non-supersymmetric solitons
- Dual CFT intepretation

#### **3** 1/2 BPS solitons in AdS<sub>5</sub> $\times$ $S^5$

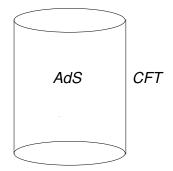
- Soliton geometry
- CFT subsector
- Correspondence

### 4 Discussion

# Motivation: Extending AdS/CFT dictionary

### $\ensuremath{\mathsf{AdS}}/\ensuremath{\mathsf{CFT}}$ maps geometry to $\ensuremath{\mathsf{CFT}}$

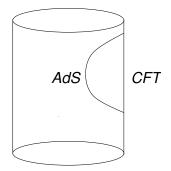
- For CFT, focus on vacuum: pure AdS space
- For quantum gravity, need to consider asymptotically AdS spaces
- Excited states in CFT
- BH: thermal ensemble Horizon, singularity difficult to understand
- Solitons: explicit id with pure states



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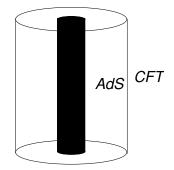
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# D1-D5 solutions

Type IIB supergravity on  $T^4 \times S^1 \times \mathbb{R}^{4,1}$ :

• coordinates  $z^i$ ,  $y \sim y + 2\pi R$ ,  $(t, r, \theta, \phi, \psi)$ 

SUSY: fermions periodic around asymptotic  $S^1$ . Rotating D1-D5 system:

- $Q_1$  D1s along  $t, y, Q_5$  D5s along  $t, y, z^i$ .
- Momentum  $P_y$ , angular momenta  $J_{\psi}$ ,  $J_{\phi}$  in  $\mathbb{R}^4$ .



Field theory on D1-D5 decouples at low energies.

# D1-D5 solutions

Construct a black string solution with these charges:

- Symmetries  $\mathbb{R}_t imes U(1)_y imes U(1)_\psi imes U(1)_\phi$
- Seven parameters:  $M, \delta_1, \delta_5, \delta_p, a_1, a_2, R$ .
- Topology  $\mathbb{R}^2_{t,r} \times S^1 \times S^3 \times T^4$ .
- Extremal limit BMPV black hole, entropy  $S = 2\pi \sqrt{Q_1 Q_5 P_y J^2}$ .
- Reproduced in CFT describing massless modes of D1-D5 system.

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# AdS/CFT

- Near-extremal limit:  $Q_1, Q_5 \gg M, a_1^2, a_2^2$ .
- Near-horizon limit: focus on  $r^2 \sim M \ll Q_1 Q_5$ .

\* Near-horizon geometry locally  $AdS_3 \times S^3 \times T^4$ .  $\ell^2 = \sqrt{Q_1Q_5}$ . Dual to a 1+1 CFT with  $c = 6Q_1Q_5$ .

Geometry BTZ black hole,

$$M_3 = \frac{R^2}{\ell^4} [(M - a_1^2 - a_2^2) \cosh 2\delta_p + 2a_1a_2 \sinh 2\delta_p],$$

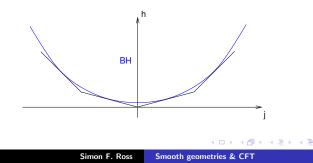
$$J_3 = \frac{\kappa^2}{\ell^3} [(M - a_1^2 - a_2^2) \sinh 2\delta_p + 2a_1a_2 \cosh 2\delta_p].$$

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# D1-D5 CFT

#### Dual description in terms of 1 + 1 CFT on $\mathbb{R} \times S^1$ :

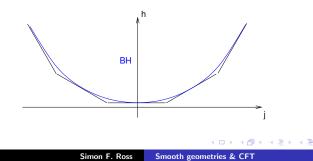
- CFT deformation of  $\sigma$ -model on  $(T^4)^{Q_1Q_5}/S_{Q_1Q_5}$ ,  $c = 6Q_1Q_5$ .
- $SO(2,2) \times SO(4)_R = SL(2,\mathbb{R}) \times SL(2,\mathbb{R}) \times SU(2) \times SU(2)$ symmetry. Charges (h,j),  $(\overline{h},\overline{j})$ .
- NS, R sectors. Chiral primaries in NS sector related to R vacua by spectral flow: h' = h + αj + α<sup>2</sup> c/24, j' = j + α c/12.



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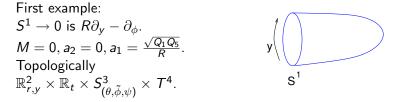


## Supersymmetric solitons

Balasubramanian, de Boer, Keski-Vakkuri & Ross; Maldacena & Maoz

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Special cases of D1-D5 solution with smooth 6d geometry. Twisted circle shrinks smoothly to zero in interior.



'Near-core' region global  $AdS_3 \times S^3 \times T^4$ :  $M_3 = -1$ ,  $J_3 = 0$ .

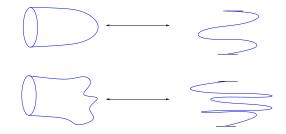
#### Dual CFT:

Global  $AdS_3 \times S^3 \leftrightarrow NS$  vacuum state Twist  $\tilde{\phi} = \phi + y/R$  corresponds to spectral flow. Soliton identified with RR ground state of maximal R-charge.

### Supersymmetric solitons

Lunin & Mathur, Lunin, Maldacena & Maoz

#### More general solutions: D1-D5 dual to F1-P.



Solutions determined by arbitrary profile  $\vec{F}(y) \in \mathbb{R}^4$ .

$$ds^{2} = H^{-1}(-(dt - A)^{2} + (dy + B)^{2}) + Hd\vec{x}^{2}$$

#### Supertubes

Mateos & Townsend

F1-D0 bound states expand into a D2-brane tube: similar arb profile. Here, D1-D5 bound states expand into KK monopole tube.

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# Relation to CFT

- Near-core limit smooth, SUSY, asymp  $AdS_3 \times S^3$  geom.
- In NS sector, identify with chiral primary—read off map from F1-P picture:

$$F^{i}(\mathbf{v}) = \sum_{k} \delta^{i}_{i_{k}} m_{k} e^{in_{k}\mathbf{v}} \leftrightarrow [\alpha^{i_{1}}_{-n_{1}}]^{m_{1}} \dots [\alpha^{i_{k}}_{-n_{k}}]^{m_{k}} |0\rangle \leftrightarrow [\sigma^{\pm\pm}_{n_{1}}]^{m_{1}} \dots [\sigma^{\pm\pm}_{n_{k}}]^{m_{k}} |0\rangle_{NS}$$

 $\sigma_{n_i}^{\pm\pm}$  are twist ops: join  $n_i$  components to form a single long string. Allowing also oscillations in  $T^4$ , build up general chiral primary.

#### Orbifolds

If  $\vec{F}(v) = \vec{a}e^{ikv}$ , profile again  $S^1$ , but traversed k times: SUSY  $(AdS_3 \times S^3)/\mathbb{Z}_k$  orbifold geometry. Corresponding state has  $n_1n_5/k$  component strings of length k.

## Mathur proposal

Mathur, ... (see hep-th/0502050)

#### $\mathsf{CFT}\ \mathsf{microstates} \leftrightarrow \mathsf{smooth}\ \mathsf{geometries}$

- "Horizon" arises by coarse graining
- Microstates desc directly in geometric terms
- Evidence: probe scattering, counting states in supertube picture.

No real black hole - no information loss problem

I don't advocate this proposal Problems:

- Finding enough solitons
- Curvatures large for typical states
- Perturbations mix states (geometries?).
- Dynamical formation in grav collapse difficult: topology, horizon teleological.

## Three-charge solutions

For three-charge solutions,  $\mathbb{R}^4$  replaced by Gibbons-Hawking space: hyper-Kähler space,  $S^1$  fibred over  $\mathbb{R}^3$ .

$$ds_{GH}^2 = V(d\psi + \alpha) + \frac{1}{V}(dx^2 + dy^2 + dz^2).$$

- Preserve U(1) symmetry associated with  $S^1$  fibre.
- Preserve half SUSY of two-charge cases.
- No supertube picture: geometry specified by sources in  $\mathbb{R}^3$ .
- KK reduction gives smooth 5d geometry.
- $\bullet\,$  Can pass to different duality frames: M2  $\perp$  M2  $\perp$  M2 desc.

#### CFT interpretation

Not yet understood in general. Two-centre case preserves  $U(1) \times U(1)$ . Near-core limit again global  $AdS_3 \times S^3$ . Interp as more general spectral flow of NS vacuum.

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## Non-supersymmetric solitons

Jejjala, Madden, Ross & Titchener

Look for soliton solutions in general "black string" metric. As in SUSY two-charge case,  $S^1 \rightarrow 0$  smoothly.

- Metric involves two harmonic functions  $H_{1,5}$ ,  $g(r) = (r^2 + a_1^2)(r^2 + a_2^2) - Mr^2 = (r^2 - r_+^2)(r^2 - r_-^2)$ . Coordinate singularities at  $H_{1,5} = 0$ ,  $r^2 = r_{\pm}^2$ .
- If  $r_+^2 > 0$ , horizon; if  $r_+^2 < 0$ , conical singularity.
- Require  $H_{1,5}(r_+) > 0$ .
- Make  $r^2 = r_+^2$  smooth origin:
  - Need  $||\xi||^2 = 0$  at  $r^2 = r_+^2$  for some

$$\xi = R\partial_y + n\partial_\psi - m\partial_\phi.$$

- $\xi$  fixes  $\tilde{\phi} = \phi + my$ ,  $\tilde{\psi} = \psi ny$ .
- Need  $m, n \in \mathbb{Z}$ , so  $\xi$  has closed orbits
- Need an appropriate period to get smooth solution

### Non-supersymmetric solitons

Jejjala, Madden, Ross & Titchener

For 
$$n = 0$$
:  $\delta_p = 0$ ,  $a_2 = 0$ ,

$$m = \frac{Ra_1}{M\sinh\delta_1\sinh\delta_5} = \frac{a_1}{\sqrt{a_1^2 - M}}.$$

Similarly for  $n \neq 0$ . All parameters fixed in terms of  $Q_1, Q_5, R, m, n$ .

- Completely smooth non-BPS solutions.
- *t* is a global time function, so no CTCs.
- Can also consider  $\mathbb{Z}_k$  orbifolds, rather than just smooth solutions:

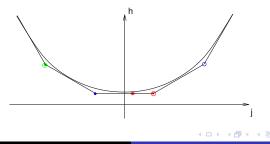
Take  $y \sim y + 2\pi Rk$  at fixed  $\tilde{\phi}, \tilde{\psi}$  closed cycle.

• m + n odd to have periodic fermions on asymptotic  $S_v^1$ .

# Dual CFT intepretation

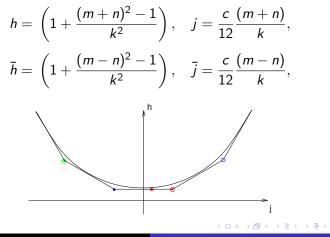
Near-core AdS region:  $AdS_{3(t,y,r)} \times S^3_{(\theta,\tilde{\phi},\tilde{\psi})} \times T^4$  $\tilde{\phi} = \phi + ym/R, \ \tilde{\psi} = \psi - yn/R.$ Read off CFT charges from asymptotics:

$$h = rac{c}{24}(m+n)^2, \quad j = rac{c}{12}(m+n),$$
  
 $ar{h} = rac{c}{24}(m-n)^2, \quad ar{j} = rac{c}{12}(m-n),$ 



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Simon F. Ross Smooth geometries & CFT

Lunin & Mathur, ...

Consider scattering of closed string states in AF geometry:



 $\Delta t_{sugra} = \pi R k \eta \qquad \Delta t_{CFT} = \pi R k$ Mismatch associated with redshift between AF & near-core coords. Indicates we don't understand CFT desc of full AF geom.

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#### Two-point functions of probe ops

Balasubramanian, Kraus & Shigemori

Non-twist ops probing *typical* R ground states:  $\langle \sigma^{\dagger} \mathcal{A}(w_1) \mathcal{A}(w_2) \sigma \rangle$ . For light probes, universal behaviour for  $t_1 - t_2 \ll \sqrt{Q_1 Q_5}$ . Reproduced by M = 0 BTZ black hole geometry. (NB: calculations at free orbifold point of CFT)

A (1) > A (1) > A

# Stability

#### For non-SUSY solitons, important to study stability

- 5d AF geometry has ergoregion  $\partial_t$  spacelike.
- Negative-energy modes in ergoregion.  $\Rightarrow$  instability?
- Should study linearized field equations

Dual to excited state in CFT: left and right-moving excitations. Expect they will decay into closed string modes

 $\star$  Interesting to compare decays

# 1/2 BPS states in AdS<sub>5</sub> × S<sup>5</sup>

### IIB on $\mathsf{AdS}_5 imes S^5 \sim \mathcal{N} = 4$ SYM on $\mathbb{R} imes S^3$

Interesting subsector: 1/2 BPS primary operators: R-charge J under  $SO(2) \subset SO(6)_R$ ,  $\Delta = J$ . Operators  $\prod_i (TrZ^{n^i})^{r^i}$ , where  $Z = \phi_1 + i\phi_2$ .

- For  $J \ll N$ , BPS modes propagating in AdS<sub>5</sub> × S<sup>5</sup>.
- BMN limit:  $J \sim N^{1/2}$ ,  $\Delta J$  finite as  $N \to \infty$ .
- For  $J \sim N$ , branes in bulk 'giant gravitons'
- For J ~ N<sup>2</sup>, expect these operators become dual to a deformation of bulk geometry.

I will focus on IIB, but there is a very similar (less developed) picture for M theory.

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# 1/2 BPS solitons: "Bubbling AdS"

Consider general ansatz for geometry determined by symmetry:

- Operators of interest s-waves on  $S^3$ —unbroken SO(4).
- $SO(4) \subset SO(6)_R$  also preserved
- $\mathbb{R}$  symmetry associated with  $\Delta J = 0$ .

Assume only  $F_{(5)}$  non-zero.

#### Require geometry preserves 1/2 SUSY

Fermion bilinears, satisfying algebraic & differential equations
 Fixes form of metric up to one independent function:

 $ds^{2} = -h^{-2}(dt + V_{i}dx^{i})^{2} + h^{2}(dy^{2} + dx^{i}dx^{i}) + ye^{G}d\Omega_{3}^{2} + ye^{-G}d\tilde{\Omega}_{3}^{2},$ 

where i = 1, 2,  $h^{-2} = 2y \cosh G$ ,  $y \partial_y V = *dz$ ,  $*dV = y^{-1} \partial_y z$ , and  $z = \tanh G/2$ .

Everything determined by z, which satisfies

$$\partial_i\partial_i z + y\partial_y(y^{-1}\partial_y z) = 0.$$

# 1/2 BPS solitons: "Bubbling AdS"

Regularity:  $y \ge 0$ . Require  $S^3 \to 0$  at y = 0 a smooth origin. Implies  $G \propto \pm \ln y$ :  $z \to \pm \frac{1}{2}$  at  $y \to 0$ .



"Bubbling" solutions: geometry specified by giving regions in the plane where  $z = -\frac{1}{2}$ . Weak curv if scales large compared to  $\ell_{pl}$ .



Complicated topology: hemisphere  $\Sigma$  defines a non-trivial 5-cycle. Flux  $\int_{\Sigma \times S^3} F_{(5)}$  given by area of black region. States are described by matrix QM

$$\mathcal{L} = N \int dt \frac{1}{2} \operatorname{tr}[(D_t X)^2 - X^2].$$

Gauge field  $A_0$  enforces Gauss constraint—states SU(N) singlets.  $\triangleright$ Matrix/closed string description: choose A = 0.  $N^2$  free harmonic oscillators, gauge-invariant states.

- Normal multi-trace basis  $tr(a^{\dagger})^{n_1} \dots tr(a^{\dagger})^{n_k} |0\rangle$ ,  $N \ge n_1 \ge n_2 \dots \ge n_k$ .
- Schur polynomial basis,  $tr_R(a^{\dagger})|0\rangle$  in rep R of SU(N).

Both have natural corr to Young diagrams.

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# CFT: matrix description

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Eigenvalue/open string description: choose X diagonal. Van der Monde determinant  $\rightarrow$  eigenvalues  $\lambda_i$  fermions.

Basis of states Slater determinants:  $\psi = \det(H^{n_i}(\lambda_j))e^{-\lambda^2/2}$ , where  $n_1 > n_2 > \ldots > n_N \ge 0$ . Matches Schur poly basis in previous picture.

 $\star$  Describe general state by distribution in fermion phase space:



E.g., Wigner dist,  $W(p,q)\sim\int dy\langle q-y|\hat{
ho}|q+y
angle e^{2ip\cdot y/\hbar}$ 

# Correspondence

Natural corr between CFT states & 1/2 BPS geometries Signs of phase space in SUGRA:

- Flux  $\propto A_D$  implies  $A_D$  quantized.
- Excitation energy  $\Delta \propto \int_{\mathcal{D}} d^2 x \; x^2 A_{\mathcal{D}}^2/2\pi.$
- Symplectic form obtained by 'on-shell quantization' agrees. Maoz & Rychkov

More than matching spectrum: preferred coords on phase space. Singular solutions:

- Superstar: *n* smeared giant gravitons. Grey disk  $z = \frac{1}{2} \frac{n-N}{n+N}$ .
- Solutions with z outside [-1/2, 1/2] have CTCs.
- Also singular if  $\partial \mathcal{D}$  self-intersects: topology changing transition.

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## Ensembles & typical states

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Expect to have a smooth semiclassical geom only for special states. What corresponds to the typical state with  $\Delta = J \sim N^2$ ?

- Consider canonical ensemble at  $T \sim N$
- Limit shape for Young diagram:
  - Fixing N,  $\Delta$ , complicated curve,  $x \sim \log y$
  - Fixing  $N, \Delta, N_C$ , triangle
- Corresponding phase space distribution gives singular geom:
  - Hyperstar
  - Superstar
- Argue correlation functions of light probes  $(\Delta' = J' \sim O(1))$ in a typical state exhibit universal behaviour.

Bulk geometry provides a coarse-grained desc of ensembleDifferences betw individual pure states & ensemble hard to see

## Discussion

- Explicit correspondence between CFT states & smooth geometry.
- Well-controlled for SUSY states, but extends to some non-SUSY states.
- New tests of AdS/CFT.
- Laboratory for exploring description of spacetime in CFT.

Future issues:

- Understand correspondence better.
- More explicit & systematic description of D1-D5 states
- Understand relation of AF geometries to CFT
- Extend to cases with horizons, understand relation to bh

A (1) > A (2) > A